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FAX TRANSMITTAL SHEET

Date:

December 6, 2002

From:

James H. Ackley

Reg. No. 45,695

To:

Assistant Commissioner of Patents

Washington, DC 20231

Fax No:

(703) 872-9310

Number of pages included in this fax: 21

In re Application of:

Liu et al.

Serial No.:

09/679,127

10/03/2000

Group Art Unit: 1711

Filed: For:

ABA-Type Block Copolymers Having A Random Block of Hydrophobic and

Hydrophilic Monomers And Methods Of

Making Same

Examiner: Mullis, J.

Dear Sir:

Enclosed please find copies of the following documents to be filed regarding the above identified patent application:

Transmittal (3 pages)

Amendment B (6 pages)

Supplemental Information Disclosure Statement (2 pages)

• PTO Form 1449 (1 page)

Reference (7 pages)

Associate Power of Attorney (1 page)

OFFICIAL

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DEC 0 9 2002

Please enter these into the file and contact us if necessary.

GROUP 1700

Respectfully Submitted, James H. Ackley Reg. No. 45,695

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Docket No. 2000-073
PATENT

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office at facsimile number (703) 872-9310 addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 12/6/02

BY: Suzanne Shadley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Liu et al.

Serial No.:

09/679,127

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10/3/00

For:

ABA-Type Block Copolymers Having A Random Block Of Hydrophobic And Hydrophilic Monomers And Methods Of

Making Same

Assistant Commissioner for Patents Washington D.C. 20231

Group Art Unit: 1711

Examiner: Mullis, J.

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GROUP 1700

Sir:

Transmitted herewith (check all that apply):

[] Preliminary Amendment	[X] Supplemental Information Disclosure Statement
[X] Response/Amendment B	[] Petition Under 37 CFR 1.97(d)(2)
[] Response/Amendment After Final	[] Formal Drawings
[] Supplemental Amendment	[] Declaration Under 37 CFR 1.131
[] Affidavits/Declarations	[] Declaration Under 37 CFR 1.132
Declaration and Power of Attorney	[] Terminal Disclaimer
[] Supplemental Declaration	[] Small Entity Statement
[] Power of Attorney	[] Request for Refund
[] Change of Correspondence Address	[] Appeal
M Associate Power of Attorney	[] Petition
[] Response to Missing Parts	

TRANSMITTAL LETTER

to be filed in the above-identified patent application.

Docket No. 2000-073
PATENT

TOTAL AMOUNT OF PAYMENT: \$696.00

METHOD OF PAYMENT

The Commissioner if hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

[] Charge the Issue Fee Set it 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1.EXTRA CLAIM FEES

	_			Extra Claims		Fee from below		Fee Paid
Total Claims	39	-29**	=	10	X	18] =	180.00
Independent Claims	7_	-3**	=	4	X	84	=	336.00
Multiple Dependent C	laims	(first ap	peara	nce) \$280/140				

^{**}or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84 .	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				SUBTOTAL (2) (\$) 516.00



Docket No. 2000-073
PATENT

2. ADDITIONAL FEES

130	Large	Entity	Small	Entity	Too Description	Fee Paid
130 205 65 Surcharge - late filing fee or oath	Fee	Fee	Fee	Fee	Fee Description	recraic
137 130 139 130 Non-English specification					Combanna lota Gling fee or oath	
139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 118 920* 112 920* Requesting publication of SIR prior to Examiner action 130 1,840* 113 1,840* Requesting publication of SIR after					Surcharge - late provisional filing fee or gover	
147 2,520 147 2,520 For filing a request for reexamination	127	50			sheet	
112 920* 112 920* Requesting publication of SIR prior to Examiner action 113	139	130	139			
Examiner action	147	2,520	147			
Examiner action	112	920*	112	920*	Examiner action	
Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after	
116				·	Examiner action	
117 920 217 460 Extension for reply within third month 118 1440 218 720 Extension for reply within fourth month 128 1,960 228 980 Extension for reply within fifth month 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 <	115	110	215	55		<u></u>
117 920 217 460 Extension for reply within third month 118 1440 218 720 Extension for reply within fourth month 128 1,960 228 980 Extension for reply within fifth month 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 <	116	400	216	200		
1.960 228 980 Extension for reply within fifth month 119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined		920	217	460	Extension for reply within third month	
119 320 219 160	118	1440	218	720	Extension for reply within fourth month	
119 320 219 160 Notice of Appeal 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	128	1,960	228	980	Extension for reply within fifth month	
120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined		320	219	160	Notice of Appeal	
138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined		320	220	160	Filing a brief in support of an appeal	
138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 8 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	121	280	221	140		<u> </u>
140 110 240 55 Petition to revive – unavoidable 141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined		1,510	138	1,510	Petition to institute a public use proceeding	
141 1,280 241 640 Petition to revive – unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined			240	55	Petition to revive – unavoidable	L
142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined		1,280	241	640	Petition to revive - unintentional	
144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined			242	640	Utility issue fee (or reissue)	
144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 S81 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	143	460	243	230	Design issue fee	-
123 50 123 50 Petitions related to provisional applications 126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	144	620	244	310		
126 180 126 180 Submission of Information Disclosure Statement \$180.00 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	122	130	122	130		
581 40 581 40 Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	123	50	123	50	Petitions related to provisional applications	
(times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	126	180	126	180		\$180.00
(37 CFR 1.129(a)) 149 740 249 370 For each additional invention to be examined	581	40	581	40		
	146	740	246	370		
	149	740	249	370		

^{*}Reduced by Basic Filing Fee Paid

Date: December 6,2002

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